

1           **LONGITUDINAL ANALYSIS OF NORMATIVE ENERGY USE FEEDBACK ON**  
2                   **DORMITORY OCCUPANTS’ ENERGY CONSUMPTION**

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18       **ABSTRACT**

19       Behavioral approaches to reduce energy consumption in the built environment are becoming  
20       increasingly common. In particular, the application of individual feedback and the use of social  
21       norms have shown promise in the short term in improving occupant energy use behavior in the  
22       home. However, the long term effects, role of messaging intensity, and relative effect of normative  
23       elements in feedback messages remain less clear. This paper attempts to address these gaps in the  
24       literature by conducting and analyzing two yearlong field experiments that test the effect of  
25       normative feedback messages on dormitory occupants’ energy consumption. The analysis shows  
26       that adding normative elements to feedback messages had no effect on energy consumption in the  
27       short term. However, when examining behavior change by occupant concern for norms, it was

28 found that individuals with high concern for social norms positively responded to the normative  
29 elements with an estimated treatment effect of 14%. Conversely individuals with low concern for  
30 social norms had the opposite reaction with an estimated treatment effect of -5%. Further, it was  
31 discovered that the duration of normative messaging positively influenced the long term durability  
32 of behavior change. The long term effect of behavior change was twice as prevalent in individuals  
33 with high concern for social norms.

34

## 35 **KEYWORDS**

36 Social Norms; Normative feedback; Home energy use; Randomized experiment; Long term  
37 behavior change

38

## 39 **1 INTRODUCTION**

40 Buildings account for two-fifths all energy consumption and carbon emissions in developed  
41 countries, and significant effort has been given to reducing these anthropogenic sources of carbon  
42 emissions (Pérez-Lombard et al. 2008; Poel et al. 2007; USC 2007; EIA 2014; EPA 2012).  
43 Behavioral approaches in particular are becoming increasingly common and viable means to  
44 reduce energy consumption in the built environment (Abrahamse et al. 2005; Darby 2006; Dietz  
45 et al. 2009; McKenzie-Mohr 2000; Stern 2011; Wilson and Dowlatabadi 2007). In particular, the  
46 application of individual feedback and the use of social norms have shown promise in the short  
47 term for improving occupant energy use behavior in the home (Allcott 2012; Allcott and Rodgers  
48 2013; Ayres et al. 2013; Schultz et al. 2007). In the extensive literature testing pro-environmental  
49 behavior interventions, very few studies have investigated treatment effects in the longer term,  
50 beyond a few months (Abrahamse et al. 2005; Geller 2002; Osbaldiston and Schott 2012). In a one  
51 study which investigated the longer term effects of behavior interventions, Staats et al. (2004)  
52 found that the Eco-team approach, an intensive and in-depth intervention methodology which  
53 combines many intervention techniques, produced durable behavior change. However, most  
54 studies to date apply an intervention and measure change in behavior only over a short period, a  
55 few months (Abrahamse et al. 2005). Then the intervention is withdrawn, and no more

56 measurements are taken. No data is collected and no insight is gained into whether or not treatment  
57 effects persist over time or what contributes to the persistence of treatment effects, yet  
58 understanding the long term effects of behavioral approaches is of great importance (De Young  
59 1993).

60 The long term effects of feedback messages, in particular normative feedback, remain  
61 unclear despite the substantial amount of recent research work investigating these intervention  
62 methodologies (Darby 2006). Further, the relative benefit of adding normative elements to  
63 individual feedback messages remains debated. Therefore, this paper investigates the durability of  
64 feedback interventions and specifically addresses the relative impact of normative feedback on  
65 occupants' energy consumption relative to generic individual feedback.

66 To date, only a few studies have been conducted which have investigated normative  
67 feedback in the longer term, and to the best of the authors' knowledge, all have relied on data from  
68 the company oPower (e.g., Allcott (2012), Allcott and Rodgers (2013), and Ayres et al. (2013)).  
69 oPower conducted large scale opt-out messaging experiments on monthly and quarterly feedback  
70 cycles. These studies provide a great foundation for exploring the durability of normative  
71 feedback, but are not without limitation and several key research questions remain unanswered.  
72 First, the oPower studies do not isolate the effect of normative messaging but rather confound the  
73 effect of the normative messages with individual energy use feedback as well as education and  
74 information making the relative effect of the normative elements of the intervention ambiguous.  
75 Second, the studies attempt to induce households through financial information/education to  
76 engage in capital improvements. This makes it impossible to determine how much energy  
77 improvements are a result of behavioral improvements versus capital improvements. Lastly, and  
78 perhaps most importantly, the studies only collect energy data. Without data on the behavioral  
79 determinants (e.g., environmental attitudes) of the households, it is not possible to gain significant  
80 insight into understanding what drives the effectiveness of the intervention (i.e., identify with what  
81 type of individuals the intervention is successful and with whom it is not) (Abrahamse et al. 2005).

82 Therefore, the authors conduct and analyze two separate year-long field experiments testing  
83 the durability and effect of normative feedback messaging on energy consumption. In the study,  
84 the authors specifically aim to answer the follow questions: 1) how do energy use behavioral  
85 determinants relate to each other as well as energy consumption?, 2) does adding normative

86 elements to individual energy use feedback messaging improve energy use behavior?, 3) what type  
87 of person is affected by normative messaging?, 4) does normative messaging promote more  
88 durable behavior change?, and 5) does the duration, i.e., intensity, of normative messaging affect  
89 behavior and the durability of behavior change?

90 This paper will proceed with an overview of the experiments conducted in this study. This  
91 is followed by the empirical strategies employed for analysis along with the results. Then, the  
92 authors present a discussion of the results and conclusions.

93

## 94 **2 EXPERIMENT OVERVIEW**

### 95 **2.1 Site and Population Overview**

96 The experiment site is a dormitory complex on a university campus in Seoul, South Korea.  
97 Seoul is a heating dominated climate; annually heating is the largest energy expenditure. The site  
98 consists of seven mid-rise dormitories up to eight stories tall containing over 1200 units. Units are  
99 either single or double occupancy. Each unit has the same built-in radiant floor heating system and  
100 air conditioning system in the ceiling. All rooms also have a bathroom and shower as well as mini-  
101 fridge. Six of the buildings mainly consist of graduate students, and one building almost  
102 exclusively houses undergraduate students. The seven buildings were constructed at the same time  
103 and are made from identical building materials.

104 Undergraduate student presence in the dormitories often revolves around the academic  
105 calendar whereas graduate students tend to remain in the buildings year round. The academic year  
106 for schools in South Korea begins the first week of March and concludes the last week in  
107 December. The school has two semesters, spring and fall. The spring semester commences in  
108 March and ends the last week of June. From this time until the fall semester begins, the first week  
109 of September, undergraduate students do not reside in the dormitories. When the fall semester  
110 starts, the undergraduate students move back into their same rooms. Alternatively, graduate  
111 students move into their units the first week of March and live continuously in the same room until  
112 their contract expires, if they do not extend it, until the last week of February the following year.  
113 Both undergraduate and graduate students may live in the same unit for more than one year.

## 114 **2.2 Feedback Messages**

115           The energy use feedback messages were delivered in both English and Korean and were  
116 sent based on the language that participants selected in their intake survey. One of two different  
117 messages was sent to each participant during the course of the intervention, a control (Figure 1a)  
118 or treatment message (Figure 1b). Both the control and treatment messages feature common energy  
119 use feedback information including how much energy in kWh was consumed during the last  
120 reporting period, the previous week, along with a few energy conservation tips. The treatment  
121 message adds a descriptive norm message and an injunctive norm message. The descriptive norm  
122 message informs the participant of the mean energy use of other similar residents and the mean  
123 use of efficient residents, the top 10% of users, which provides a target for participant behavior.  
124 Complementing the descriptive norm messages is the injunctive norm message which comments  
125 on social desirability of the participant's current behavior (e.g., Best! Good job!). The top 10% of  
126 users receive the top rating "Best! Good job!/최상! 참 잘 했어요!" and two stars. The next 40%  
127 of users who have energy use below the median receive the rating "Good, keep working at it!/상,  
128 계속 노력하세요!" and one star. Finally, participants who use more energy use than the median  
129 user receive the message "Poor, but keep working at it!/하, 조금 더 노력하세요!" and a frowning  
130 emoticon. Lastly, since all participants are renters, energy conservation tips provide suggestions  
131 for ways to improve energy consumption through behavioral improvement rather than focusing on  
132 financial motivations.

## 133 **2.3 Experimental Design**


134           The graduate and undergraduate student samples are divided into two separate experiments  
135 due to differences in occupancy throughout the year in addition to being physically segregated into  
136 different buildings. The graduate students are dispersed across six buildings and the undergraduate  
137 population is almost exclusively contained in a single building. Initially across the six graduate  
138 buildings, 220 rooms participated with a total of 276 individual participants. In the undergraduate  
139 building 152 rooms signed up to participate with a total of 219 individual participants.

Energy Use Feedback (9-8) <woihj@snu.ac.kr> 9/8/14 ☆

to me ▾

### Room 627's Weekly Energy Use Report Card

#### YOUR ENERGY USE



**9.6**  
kWh

Last week you used **9.6 kWh** of energy.

#### Energy Saving Tips

- Be sure to turn off lights, heater, aircon, TVs, and computers when you are not in the room.
- Check to see that windows and doors are closed when heating or cooling your room.
- Keep your curtains open to use daylighting instead of turning on lights.
- Use the power management settings on computers and monitors.


If you would prefer to receive this message in Korean please  
reply to this message with the word "Korean" in body text.

에너지 사용 내역 (9-8) <woihj@snu.ac.kr> 9/8/14 ☆

to me ▾

### 627 호실 주간 에너지 사용내역 카드

#### 에너지 사용량



**9.6**  
kWh

지난 주 나는 **9.6 kWh** 만큼의 전력을 사용하였습니다.

#### 에너지 절약 방법

- 퇴실할 때 전자제품 전원을 꺼주세요. (조명, TV, 에어컨, 컴퓨터, 난방기 등)
- 난방이나 냉방을 할 때 창문을 닫아주세요.
- 낮에는 불을 켜지 않고, 커튼을 걷는다.
- 컴퓨터나 노트북을 사용할 때 절전 모드를 사용하세요.

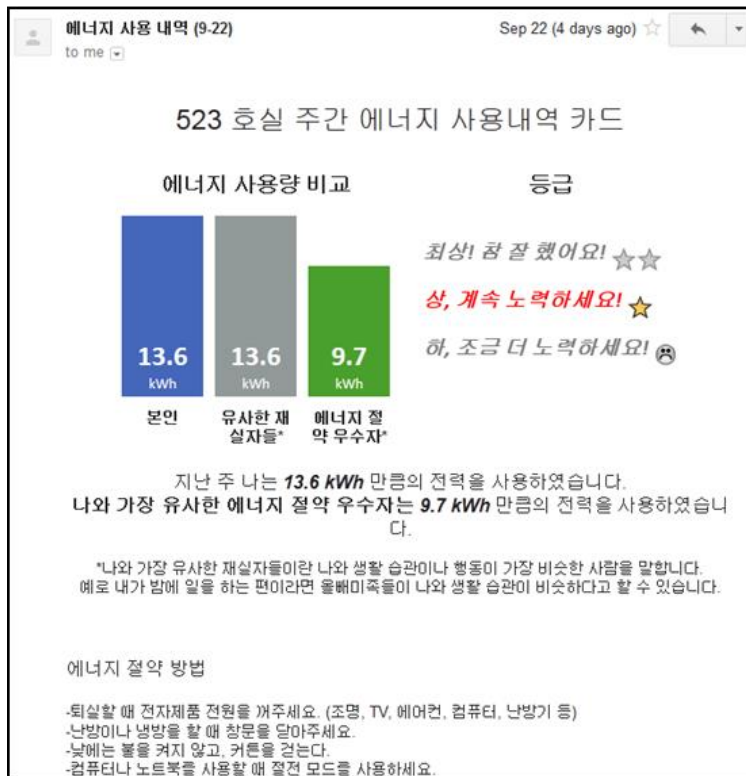
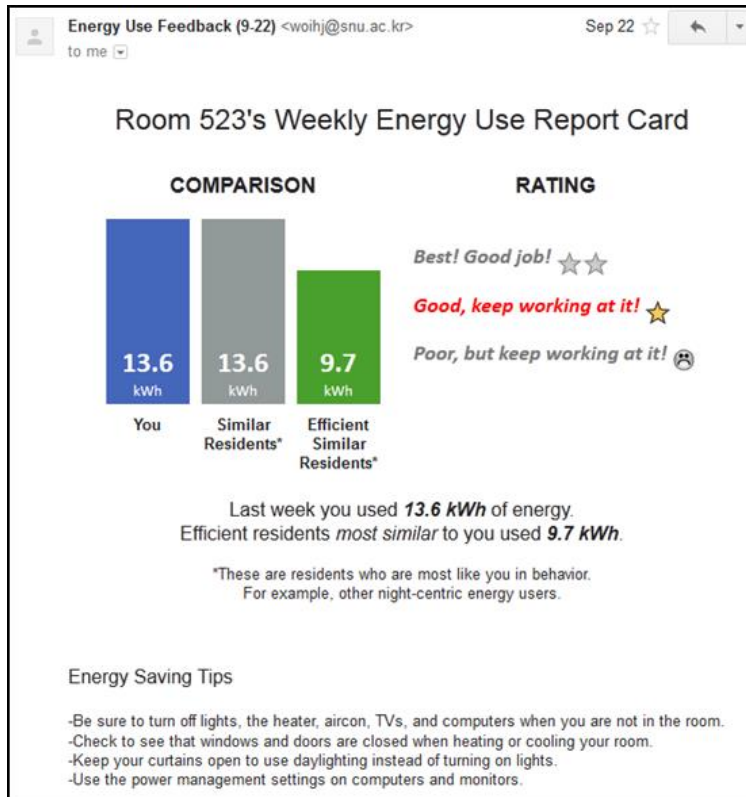
If you would prefer to receive this message in English please  
reply to this message with the word "English" in body text.

140

141

142

Figure 1a: Control message with individual feedback and conservation tips in English and Korean.



143

144 Figure 1b: Treatment message in English and Korean. The treatment message adds a descriptive  
145 and injunctive normative message.

146 For both the undergraduate and graduate student experimental groups' data collection began  
 147 on March 3, 2014 and concluded on February 22, 2015 (Table 1). Pre-intervention data was  
 148 collected for seven weeks from March 3 through April 20. For 16 weeks from April 21 through  
 149 September 28 both experiments conducted their respective feedback interventions. After  
 150 September 29 the interventions were stopped. Post-intervention data was collected for 21 weeks  
 151 until February 22, 2015 for both experiments in order to examine the durability of the methods.

152 Table 1: Study timeline

	Study Phase		
	Pre-Intervention Data Collection	Intervention	Post-Intervention Data Collection
Dates	3/3/14 thru 4/20/14	4/21/14 thru 9/28/14	9/29/14 thru 2/22/15
Duration	7 Weeks	16 Weeks	21 Weeks

153

154 Three surveys were conducted during the course of the experiment. An intake survey was  
 155 distributed to participants during a dormitory move in orientation held by the university which  
 156 took place between March 3 and March 28. Surveys were handed out and collected in person. The  
 157 second and third surveys were conducted electronically and sent out via email. The second survey  
 158 was sent out upon withdrawal of the intervention on October 6, 2014. The final survey was sent  
 159 out upon the conclusion of the follow-up period on March 1, 2015. These surveys repeat many of  
 160 the questions from the intake survey but are not included in the analysis in this paper.

161 In the undergraduate building, experimental treatments were randomly assigned resulting  
 162 in 76 rooms in both the treatment and control groups. Treatment and control rooms are randomly  
 163 assigned throughout the building and not separated by floor level. The use of random assignment  
 164 allows the researchers to clearly isolate treatment effects. Previous normative energy use feedback  
 165 studies have suggested that it is not necessary to physically segregate treatment and control  
 166 samples based off concerns for geographic spillover, i.e., people talking with their neighbors about  
 167 the reports, and random assignment at the household has become standard practice for such studies  
 168 (Allcott and Rodgers 2012). Feedback messages were sent weekly to participants for seven weeks  
 169 from April 21 to June 8. Messaging was halted after this data until students returned from the  
 170 summer recess on September 1 after which time messaging resumed for three more weeks.



171 Unlike the undergraduate student population, the graduate student population remained in  
 172 their units throughout the year and was dispersed across six buildings. For this experiment with  
 173 the graduate student population, treatment groups were assigned by building resulting in six  
 174 treatment groups. The graduate student intervention schedule differs substantially from the  
 175 undergraduate schedule. In contrast to the undergraduate student experiment where the treatment  
 176 and control groups continuously received the same message throughout the entire intervention  
 177 period, each graduate student treatment groups received both the control and treatment feedback  
 178 messages. Upon the start of the intervention all treatment groups received the control message for  
 179 the first three weeks. This is used as the baseline period for the graduate population. Every three  
 180 weeks thereafter a new treatment group received the treatment message (Figure 2). Phasing in a  
 181 new treatment group every three weeks allowed the researchers to test the effect of normative  
 182 messaging duration on behavior change and behavior change durability. This experimental design  
 183 has many benefits. First, it allows the researchers to control for building effects which may be  
 184 present. Second, and most importantly, it permits the researchers to test the effect of the intensity  
 185 of messaging on both immediate behavior change and long term behavior change; this is also  
 186 referred to as messaging duration throughout this section.

187

	Period						
	1	2	3	4	5	6	7
Building	4/21 to 5/11	5/12 to 6/1	6/2 to 6/9	7/7 to 7/27	7/28 to 8/17	8/18 to 9/7	9/8 to 9/28
A	Control	Treatment	Treatment	Treatment	Treatment	Treatment	Treatment
B	Control	Control	Treatment	Treatment	Treatment	Treatment	Treatment
C	Control	Control	Control	Treatment	Treatment	Treatment	Treatment
D	Control	Control	Control	Control	Treatment	Treatment	Treatment
E	Control	Control	Control	Control	Control	Treatment	Treatment
F	Control	Control	Control	Control	Control	Control	Treatment



188

189 Figure 2: Graduate student experiment messaging schedule by treatment group.

190 **2.4 Data**

191 Data on each room’s electricity consumption was collected on an hourly basis. The  
 192 electricity energy use data includes all plug loads as well as heating, cooling, and lighting  
 193 electricity usage. During the course of the intervention, this data was aggregated weekly and used  
 194 in the feedback messages. For analysis purposes for both the graduate and undergraduate  
 195 experimental groups, this data has been aggregated into four values to smooth out the significant  
 196 hour to hour and week to week fluctuations in energy use. The four values are: baseline energy  
 197 use, mean weekly energy use during the intervention period, mean short-term post-intervention  
 198 weekly energy use, and mean full-term post-intervention weekly energy use. Post-intervention  
 199 energy use value has been decomposed into two periods, short-term and full-term. The short-term  
 200 period consists of only the first 12 of the 21 weeks of the post-intervention data collection period.  
 201 The reason for this separation is that a significant portion of the undergraduate student population,  
 202 85% (185 out of 219) vacated their rooms beginning during the twelfth week of the post-  
 203 intervention period. For the graduate student experiment the weekly energy use during the  
 204 intervention is also aggregated into blocks to match the treatment schedule presented in Figure 2.

205 Table 2: Undergraduate students’ pre-intervention Spearman Rank correlation coefficients  
 206 between behavioral determinants and baseline energy used

Variable	(1)	(2)	(3)	(4)	(5)
(1) Baseline Energy Use	1.000				
(2) Attitude	0.000	1.000			
(3) Subjective Norm	0.005	-0.022	1.000		
(4) Perceived Behavioral Control	-0.133'	0.363*	0.034	1.000	
(5) Behavioral Intention	0.078	-0.474*	0.078	-0.357*	1.000

Notes: A lower attitude value indicates that one has negative attitudes toward energy conservation in the home. A lower subjective norm value indicates a higher level of concern and motivation to comply with the norm. A lower perceived behavioral control value indicates a low level of perceived control over one's energy consumption. The sample size is 219. Significant results at the .01 and .05 levels are respectively marked \* and '.

207

208 The intake survey had 495 respondents and is the main source of data for occupant  
 209 behavioral determinants (Appendix A). The survey was based off of the Theory of Planned  
 210 Behavior and was designed to elicit occupant behavioral beliefs, normative beliefs, control beliefs,  
 211 and behavior intention related to energy conservation in their home (Ajzen 1991; Ajzen 2015).  
 212 Questions were asked using multiple bi-polar Likert items and transformed into Likert scale values

213 which represent the individuals' attitude, subjective norm, and perceived behavioral control  
 214 towards energy conservation in the home (Ajzen 1991). Table 2 and 3 present the initial  
 215 correlations between these behavioral determinants and baseline energy consumption for the  
 216 undergraduate and graduate student samples. The correlations for the undergraduate and graduate  
 217 student samples are highly similar and highlight some surprising relationships. Interestingly one's  
 218 behavior intention, a direct measure of one's intention to conserve energy in the home or not, is  
 219 not correlated with actual energy use. However, the behavioral determinants, with the exception  
 220 of the subjective norm, are significantly and meaningfully correlated with behavior intention for  
 221 both samples.

222 Table 3: Graduate students' pre-intervention Spearman Rank correlation coefficients between  
 223 behavioral determinants and baseline energy used

Variable	(1)	(2)	(3)	(4)	(5)
(1) Baseline Energy Use	1.000				
(2) Attitude	-0.112	1.000			
(3) Subjective Norm	0.058	-0.134'	1.000		
(4) Perceived Behavioral Control	-0.150'	0.356*	-0.104	1.000	
(5) Behavioral Intention	0.071	-0.554*	0.133'	-0.351*	1.000

Notes: A lower attitude value indicates that one has negative attitudes toward energy conservation in the home. A lower subjective norm value indicates a higher level of concern and motivation to comply with the norm. A lower perceived behavioral control value indicates a low level of perceived control over one's energy consumption. The sample size is 276. Significant results at the .01 and .05 levels are respectively marked \* and '.

224

225 Over the course of the study, rooms were lost to attrition and error. From the two samples  
 226 74 graduate rooms and 34 undergraduate rooms (15 treatment group rooms and 19 control group  
 227 rooms) had to be removed. Of these room, 102 have been removed due to occupancy changes (i.e.,  
 228 occupants moved out or changed rooms), one due to a data recording malfunction, and five were  
 229 identified as outliers—energy use more than three standard deviations away from the mean in any  
 230 of the four periods being analyzed. No participants elected to opt out of the study. The authors are  
 231 not concerned that the dropped data could bias the results since for groups the baseline energy  
 232 consumption of the dropped rooms does not significantly vary from the rooms included in the  
 233 analysis (undergraduate population: Welch t-test  $t=0.7045$ ,  $df=41.578$ ,  $p\text{-value}=0.485$ ; graduate  
 234 population: Welch t-test  $t=-1.4848$ ,  $df=116.237$ ,  $p\text{-value}=0.1403$ ). Further, there is no reason to

235 suspect that moving is related to the behavioral characteristics of the occupants which are of  
 236 interest for energy conservation. In addition to the data lost from the dropped rooms, lightning  
 237 struck the building complex on June 9 and caused the site's data recording system malfunction.  
 238 No data was collected between June 9 and June 23. This malfunction had no effect on the  
 239 undergraduate student study; however, it slightly interrupted the graduate student experiment. As  
 240 a result, no messages were sent out for the week of June 16, June 23, or June 30. Data was collected  
 241 for the week of June 30 though and used as the input data for the July 7 messages.

242 Table 4: Graduate student mean energy use in kWh per week by floor and period

Time Period	Floor								
	First	Second	Third	Forth	Fifth	Sixth	Seventh	Eighth	All
Baseline	59.2 (18.3)	43.8 (29.0)	30.8 (18.0)	31.5 (22.9)	31.2 (20.3)	24.1 (10.7)	28.2 (16.2)	30.0 (15.3)	33.4
Intervention	22.4 (6.8)	16.7 (5.0)	15.1 (4.7)	16.1 (4.3)	15.2 (4.8)	14.8 (4.7)	16.6 (6.5)	15.3 (5.1)	16.2
Short-term Post Intervention	86.1 (20.3)	71.4 (23.7)	67.4 (31.6)	64.2 (22.9)	59.1 (17.5)	58.7 (14.1)	63.0 (19.5)	75.6 (24.2)	66.5
Full-term Post Intervention	105.4 (18.4)	92.5 (25.4)	85.2 (32.8)	82.6 (26.6)	74.4 (19.8)	76.1 (14.9)	77.7 (20.6)	94.5 (25.8)	84.3
Mean	62.3	51.0	43.7	43.4	40.3	38.3	40.8	46.6	45.8
Rooms	9	24	13	22	21	21	21	15	146

Note: Standard deviations are shown in parentheses.

243

244 Looking at room energy use, energy consumption was highly affected by seasonality and  
 245 weather as well as by room floor level (Table 4). Energy use varies substantially by floor due to  
 246 how heat flows through buildings, e.g., heating in the ground level floor diffuses to the second  
 247 level which reduces the required heating load for the second floor<sup>1</sup>. As a result, interior floors in  
 248 buildings require less space conditioning. The baseline periods are at the end of the winter months  
 249 and occupants use substantially more energy for space conditioning than they do during the  
 250 intervention which takes place in spring and summer. The post-intervention period extends from  
 251 fall through winter, and units use considerably more electricity during this period for space heating.  
 252 This increase in heating demand is clearly evident in the large difference in weekly energy

<sup>1</sup> Ground temperatures have a similar effect on the first floor.

253 consumption between the short-term and full-term follow-up periods. In addition, room occupancy  
 254 type, single or double occupancy, contributes to room energy consumption. The graduate student  
 255 population has both single and double occupancy rooms, but the undergraduate population only  
 256 has double occupancy rooms.

257

### 258 3 EMPIRICAL STRATEGIES & RESULTS

#### 259 3.1 Graduate Student Experiment

##### 260 3.1.1 Room Level Analysis

261 The authors begin the analysis of the graduate student experiment by estimating the  
 262 following regression:

$$263 \quad \text{BaselineEnergyUse}_{rft} = \beta_0 + \beta_1 \text{Duration}_{rft} + \alpha_f + \alpha_t + \varepsilon_{rft} \quad (1)$$

264 where  $\text{BaselineEnergyUse}_{rft}$  is the mean weekly energy use of room  $r$  during baseline period and  
 265  $\text{Duration}_{rft}$  is the duration in weeks that room  $r$  received normative feedback. Two separate dummy  
 266 variables are also added to absorb fixed effects for each room floor level,  $\alpha_f$ , and room type,  $\alpha_t$ .  
 267 The equation, and all others presented in this paper are estimated using Ordinary Least Squares  
 268 (OLS) unless otherwise specified. This analysis is run as a check to test and see if initial differences  
 269 exist between the assigned treatment groups prior to intervention.

270 Table 5: Graduate room baseline energy use comparisons by group selection

Explanatory Variable	(1)	(2)	(3)
Duration of Normative Messaging (weeks)	-0.008 (0.012)	-0.001 (0.012)	-0.002 (0.012)
Floor Fixed Effects	No	Yes	Yes
Room Type Fixed Effects	No	No	Yes
Adjusted R <sup>2</sup>	.000	.092	.095

Notes: OLS on log transformed baseline mean weekly energy use (kWh/week).  
 Significance at the 0.05, 0.01, and 0.001 levels are designated by \*, \*\*, \*\*\*  
 respectively. Standard error terms are in parentheses. The sample size is 146. Data  
 is transformed to meet normality assumptions. Duration of messaging ranged from  
 three to sixteen weeks.

271

272 Regression results are presented in Table 5. To meet normality assumptions, mean weekly  
273 energy consumption during the baseline period was log transformed. Column 1 omits the addition  
274 of floor and room type dummies; columns 2 and 3 sequentially add in the dummy variables. Results  
275 indicate there are no differences in energy use behavior prior to intervention by group selection.

276 Findings from the literature suggest that the addition of normative feedback to weekly  
277 messages should be more effective at inducing improvements in energy behavior. Therefore, the  
278 authors used difference-in-difference estimations to test the relative effect of a receiving normative  
279 feedback compared to individual feedback. For each pair of consecutive periods, e.g., period 1 to  
280 2 (see Figure 2), mean difference in energy use between treatment and control groups was tested.  
281 No significant mean differences were found between any pair of periods. These results are likely  
282 a consequence of the high variance in energy use behavior among rooms and the limited sample  
283 size.

284 As effects were not present using higher frequency energy consumption data, it is possible  
285 the effects may be present when the variance in behavior is less. To reduce the variance in energy  
286 use behavior all energy consumption data during the intervention is aggregated. The literature  
287 suggests that since normative feedback is more effective than individual feedback alone, the  
288 duration for which rooms received normative messages would be hypothesized to have lower levels  
289 of energy consumption. To test the effect of the duration of normative messaging on energy  
290 consumption during the course of the intervention, the authors use the following model  
291 specification:

$$292 \quad IntEngUse_{rft} = \beta_0 + \beta_1 Duration_{rft} + \beta_2 BaseEngUse_{rft} + \alpha_f + \alpha_t + \varepsilon_{rft} \quad (2)$$

293 where  $IntEngUse_{rft}$  is the mean weekly energy use of room  $r$  during the course of the intervention  
294 and  $BaseEngUse_{rft}$  is the mean weekly energy use of room  $r$  during baseline period. The remaining  
295 variables are the same as in model specification (1).

296 Results from the regressions are presented in Table 6. Once again to meet normality  
297 assumptions, all energy use values are log transformed. Column 1 omits fixed effects dummies  
298 and the covariate for baseline energy consumption. Column 2 adds a covariate for room baseline  
299 energy use and columns 3 and 4 add in fixed effect dummies for floor and room type, respectively.  
300 Here, much like with the difference-in-difference estimations, normative messaging is found to

301 have no effect on energy consumption. Previous energy use behavior is the most significant  
 302 predictor of current energy use behavior.

303 Table 6: Effect of duration of normative messaging on energy use during the intervention

Explanatory Variable	(1)	(2)	(3)	(4)
Duration of Normative Messaging (weeks)	-0.004 (0.006)	-0.002 (0.005)	0.001 (0.005)	0.001 (0.006)
Log Baseline Energy Use (kWh/week)	---	0.246*** (0.036)	0.227*** (0.040)	0.227*** (0.040)
Floor Fixed Effects	No	No	Yes	Yes
Room Type Fixed Effects	No	No	No	Yes
Adjusted R <sup>2</sup>	.000	.233	.225	.219

Notes: OLS on log transformed energy use during the intervention (kWh/week). Significance at the 0.05, 0.01, and 0.001 levels are designated by \*, \*\*, \*\*\* respectively. Standard error terms are in parentheses. The sample size is 146. Data is transformed to meet normality assumptions. Duration of messaging ranged from three to sixteen weeks.

304

305 The results so far suggest that normative messaging in this sample had no significant effect  
 306 on energy use behavior during the intervention. However, it is possible that differences could not  
 307 be identified due to limitations of the study, e.g., sample size, and that receiving normative  
 308 messages for longer durations had a positive effect the durability behavior change after the  
 309 intervention was withdrawn. To test the effect of duration of normative messaging on energy use  
 310 during the post-intervention, the following modeling specification was used:

$$311 \quad PostIntEngUse_{rft} = \beta_0 + \beta_1 Duration_{rft} + \beta_2 BaseEngUse_{rft} + \alpha_f + \alpha_t + \varepsilon_{rft} \quad (3)$$

312 where  $PostIntEng_{rft}$  is the mean energy use of a room in the post-intervention follow-up period.

313 Regression results are shown in Table 7, and the columns present the same regressions as  
 314 the columns in Table 6. In contrast to the previous results, during the post-intervention follow-up  
 315 period, the duration of normative messaging significantly affected energy use. For each week, a  
 316 room received the normative message that they used on average 0.85 kWh of energy less per week.  
 317 To put this quantity into perspective, mean weekly energy use during the post-intervention period  
 318 across all rooms was 84 kWh, and rooms received normative messages for between three and  
 319 sixteen weeks. The explanatory power of the duration of messaging is quite low as would be  
 320 expected since it is unlikely that the addition of normative message would cause very large swings

321 in one's behavior. Once again previous behavior has the greatest explanatory power as would be  
 322 expected.

323 Table 7: Effect of duration of normative messaging on energy use in the post-intervention  
 324 follow-up period

Explanatory Variable	(1)	(2)	(3)	(4)
Duration of Normative Messaging (weeks)	-0.818' (0.471)	-0.760* (0.374)	-0.790* (0.378)	-0.849* (0.355)
Baseline Energy Use (kWh/week)	---	0.687*** (0.074)	0.681*** (0.080)	0.631*** (0.076)
Floor Fixed Effects	No	No	Yes	Yes
Room Type Fixed Effects	No	No	No	Yes
Adjusted R <sup>2</sup>	.014	.378	.400	.470

Notes: OLS on energy use after intervention withdrawal (kWh/week). Significance at the 0.1, 0.05, 0.01, and 0.001 levels are designated by ', \*, \*\*, \*\*\* respectively. Standard error terms are in parentheses. The sample size is 146. Duration of messaging ranged from three to sixteen weeks.

### 325 3.1.2 Individual Level Analysis

326 The previous section analyzed the effects of the intervention on the total sample and  
 327 provided insight into system level outcomes and behavior. To enhance our understanding of who  
 328 changed their energy use behavior as a result of the intervention, it is necessary to jointly consider  
 329 intervention outcomes and individual behavioral determinants.

330 It is unlikely that the entire sample of participants would be equally affected by the addition  
 331 of the normative element of the feedback message. It is reasonable to hypothesize that individuals  
 332 who perceive pressure to conform to group norms and who possess a high motivation to comply  
 333 with social norms would be more likely to be affected by normative messaging. Also individuals  
 334 who have a high intention to conserve energy use may receive more benefit from the additional  
 335 normative information in the messages which could improve behavior. On the other hand, given  
 336 the normative nature of the intervention, there is little reason to suspect individual attitudes and  
 337 perceived behavioral control toward energy conservation in the home would predict normative  
 338 messaging effectiveness.

339 To begin this analysis, the authors cut the data into subsets conditional on occupant  
 340 behavioral characteristics. For each behavioral determinant, the data was cut to only include



341 occupants with extreme values, approximately the top and bottom 25% of occupants for the given  
342 behavioral determinant under investigation. For instance, the occupants who identified themselves  
343 as being highly influenceable by social norms and the occupants who identified themselves as  
344 being highly un-influenceable by social norms were isolated. This process was repeated all four  
345 behavioral determinants (attitudes, social norms, perceived behavioral control, and intention).

346 Using these subsets, the authors estimated the following regressions:

$$347 \quad IntEngUse_{ift} = \beta_0 + \beta_1 Duration_{ift} + \beta_2 BaseEngUse_{ift} + \alpha_f + \alpha_t + \varepsilon_{ift} \quad (4)$$

$$348 \quad PostIntEngUse_{ift} = \beta_0 + \beta_1 Duration_{ift} + \beta_2 BaseEngUse_{ift} + \alpha_f + \alpha_t + \varepsilon_{ift} \quad (5)$$

349 These regressions are slightly different from model (2) and (3) as they are run on the individual  
350 response level,  $i$ , and not the room level,  $r$ . In addition, standard errors are robust and clustered at  
351 the room level to control for correlations for rooms with multiple participants. All regressions  
352 using the sub-samples on mean participant energy consumption during the intervention, model (4),  
353 resulted in no significant differences between treatment groups once again. However, during the  
354 post-intervention follow-up, model (5), some sub-samples were significantly affected by the  
355 duration of normative messaging (Table 8).

356 Columns 1 through 3 include the entire population and sequentially add fixed effect  
357 dummies for floor and room type. Column 4 uses the same model specifications as column 3 except  
358 is run using only individuals who are highly influenceable by social norms. The effect of normative  
359 messaging duration is meaningfully larger than for the entire population at -2.084 compared to -  
360 0.942. This suggests that highly influenceable individuals receive additional benefit from receiving  
361 normative message for a longer duration. These equate to a treatment effects of 1.2% less energy  
362 use per week of messaging for the entire population and 2.4% per week of messaging for highly  
363 influenceable individuals. Column 5 uses the sub-population of individuals who have low  
364 motivation to comply with social norms and perceive little social pressure to conform to norms.  
365 As could be expected, longer exposure to normative messaging had no significant effect on these  
366 individuals. Column 6 shows the results using individuals who self-identified as high intention to  
367 conserve. Most occupants in the study stated they have a fairly high intention to use less energy in  
368 the home so this sub-population includes two-thirds of the entire population. For this group, the  
369 duration of treatment was significant but the effect is not meaningfully different from that of the

entire population (column 1). Lastly, subsets based on attitudes towards conserving and perceived behavior control had no significant effects. The lack of significance considering that the entire population (column 1) had a significant result is not meaningful, but rather a consequences of the smaller sample size as the non-significant treatment effects are approximately -1.0.

Table 8: Effect of duration of normative messaging on energy use in the post-intervention follow-up period conditional on occupant behavioral determinants

Explanatory Variable	(1)	(2)	(3)	(4)	(5)	(6)
Duration of Normative Messaging (weeks)	-0.942** (0.335)	-0.953** (0.334)	-1.008** (0.317)	-2.084* (1.010)	-0.900 (0.595)	-1.052* (0.407)
Baseline Energy Use (kWh/week)	0.790*** (0.071)	0.764*** (0.075)	0.727*** (0.072)	0.473* (0.177)	0.786*** (0.124)	0.733*** (0.091)
Floor Fixed Effects		Yes	Yes	Yes	Yes	Yes
Room Type Fixed Effects			Yes	Yes	Yes	Yes
Highly Influenceable by Norms				Yes		
Highly Un-influenceable by Norms					Yes	
High Intention to Conserve						Yes
Adjusted R <sup>2</sup>	.417	.457	.512	.392	.570	.482
Observations	183	183	183	47	52	118

Notes: OLS on energy use after intervention withdrawal (kWh/week). Significance at the 0.1, 0.05, 0.01, and 0.001 levels are designated by ', \*, \*\*, \*\*\* respectively. Standard error terms are clustered at the room level and shown in parentheses. Duration of messaging ranged from three to sixteen weeks.

376

### 3.2 Undergraduate Student Experiment

#### 3.2.1 Room Level Analysis

The analysis of the undergraduate student experiment follows the same form as the graduate student experiment. Analysis of this experiment differs in three regards. First, this experiment used random assignment of treatment and control, and the message that was sent to each room and participant remained constant. Second, the post-intervention follow-up period is shorter. Third, the undergraduate population only has double occupancy rooms so there is no fixed effect dummy for room type. To begin the analysis of this experiment we estimate the following regressions:

$$BaselineEnergyUse_{rf} = \beta_0 + \beta_1 T_{rf} + \alpha_f + \varepsilon_{rf} \quad (6)$$

$$IntEngUse_{rf} = \beta_0 + \beta_1 T_{rf} + \beta_2 BaseEngUse_{rf} + \alpha_f + \varepsilon_{rf} \quad (7)$$

386

387 
$$PostIntEngUse_{rf} = \beta_0 + \beta_1 T_{rf} + \beta_2 BaseEngUse_{rf} + \alpha_f + \varepsilon_{rf} \quad (8)$$

388 where  $T_{rf}$  is a dummy variable which takes a value of 0 for control group rooms and 1 for treatment  
 389 group rooms, and  $PostIntEng_{rf}$  is the mean energy use of a room in the post-intervention follow-  
 390 up period. The remaining terms are identical to those used in model (1) and model (2). Model (6)  
 391 tests whether or not there are initial differences in the randomly assigned groups prior to  
 392 intervention. Model (7) tests the effect of adding normative messages to the individual feedback  
 393 on energy consumption during the intervention. Finally, model (8) tests this effect during the post-  
 394 intervention period.

395 Table 9: Undergraduate room OLS regressions on energy consumption by treatment group

Explanatory Variable	(1)	(2)	(3)
Treatment Group	0.075 (0.077)	-0.298 (1.012)	2.095 (3.151)
Baseline Energy Use (kWh/week)	---	0.211*** (0.022)	0.527*** (0.067)
Floor Fixed Effects	Yes	Yes	Yes
Adjusted R <sup>2</sup>	.153	.495	.463

Notes: OLS on energy use (kWh/week). Significance at the 0.05, 0.01, and 0.001 levels are designated by \*, \*\*, \*\*\* respectively. Standard error terms are in parentheses. The sample size is 118. (1) is on mean baseline energy use and is log transformed to meet normality assumptions. (2) is on mean weekly energy use during the intervention. (3) is on mean weekly energy use during the post intervention follow-up period. There are two groups, treatment and control.

396

397 Regression results are presented in Table 9. To meet normality assumptions for model (6),  
 398 mean weekly energy consumption during the baseline period was log transformed. The other two  
 399 models use untransformed data. Column 1 shows the results for model (6). The random room  
 400 assignment resulted in both treatment groups not differing statistically when controlling for floor  
 401 fixed effects. Model (7) results are presented in column 2. In contrast to previous studies, likely  
 402 partially due to the limited sample size, no statistical differences in energy use are found between  
 403 the two groups. During this period, the room's floor level and previous energy use have significant  
 404 explanatory power and explain roughly 50% of the variance in energy use. In the post-intervention  
 405 follow-up period, Model (8) column 3, the treatment groups once again do not statistically differ  
 406 and room floor level and previous behavior retain their high explanatory power.

407 3.2.2 *Individual Level Analysis*

408 To investigate the effect of normative messaging on sub-samples, the same procedure of  
409 regressing subset samples based on behavioral determinants that was used in the graduate student  
410 study is used again here. For this analysis, the authors re-use the basic models from the room level  
411 analysis, models (6) through (8), except now the analysis is run using individual level data,  $i$ ,  
412 instead of room level data,  $r$ . Once again standard errors are robust and clustered at the room level  
413 to control for correlations for rooms with multiple participants. In this investigation in addition to  
414 creating subset with the top and bottom 25% of each behavioral determinants very extreme users,  
415 the top and bottom 10% are also examined to see if more extreme behavioral values result in  
416 stronger treatment effects.

417 Running the regression model on mean baseline energy use for each sub-sample did not  
418 result with any statistical differences for any of the sub-samples based on treatment group  
419 assignment. This suggests that the randomization worked as intended.

420 Next model (7) is estimated with the changes as noted above. Regression results are  
421 presented in Table 10. Of all the behavioral determinants treatment only differed in the sub-  
422 samples for level of normative influencability. Column 1 shows the base model for the entire  
423 sample with the room floor dummy omitted. Column 2 adds in the room floor dummy. Floor effects  
424 explain approximately 3% of the total variance in energy use. Columns 3 through 6 present the  
425 results for the sub-samples based on level of social norm influencability. During the intervention,  
426 the treatment had a significant and meaningful effect on energy consumption conditional on  
427 occupant self-identified influencability to social norms. Across the continuum of influencability  
428 to social norms, the most extreme occupant, both high and low, had the most dramatic treatment  
429 effects. These results should be seen with some caution given the very limited sample sizes;  
430 however, steps were taken to check the robustness of the results against the influence of highly  
431 influential data points<sup>2</sup>. Extremely influenceable occupants who receive the normative message

---

<sup>2</sup> Since the sample size in the extreme samples is so limited the possibility of results being driven by a few highly influential data points is increased. Therefore, Cook's distance was used to identify highly influential data points (Cook 1977). If data points were identified as being highly influential they were removed and the regressions re-run. The results from the re-run regressions are presented in Table 4.9. In both cases, treatment effects matched significance levels and were in the same direction suggesting that the results are robust to influential data points.

432 used on average 8.5 kWh less per week relative to their counterparts who received the control  
 433 message. At the other end of the influencability spectrum, the opposite effect is seen where  
 434 recipients of the normative message actually used 5 kWh per week more than recipients of the  
 435 control message. These two changes represent significant treatment effects of approximately 25%  
 436 and 50% reductions and increases. Looking at the larger sub-samples, top 25% of each end of the  
 437 continuum, the same direction of behavior is seen but with smaller treatment effects.

438 Table 10: Effect of normative messaging on energy use during the intervention conditional on  
 439 occupant behavioral determinants in the undergraduate experiment

Explanatory Variable	(1)	(2)	(3)	(4)	(5)	(6)
Treatment Group	-0.746 (0.808)	-0.799 (0.805)	-8.450** (2.467)	-1.247 (1.563)	4.096* (1.532)	5.070* (2.073)
Baseline Energy Use (kWh/week)	0.199*** (0.016)	0.201*** (0.017)	0.083 (0.056)	0.205*** (0.037)	0.125** (0.039)	0.097* (0.043)
Floor Fixed Effects		Yes	Yes	Yes	Yes	Yes
Highly Influenceable by Norms (top 10%)			Yes			
Highly Influenceable by Norms (top 25%)				Yes		
Highly Un-influenceable by Norms (top 25%)					Yes	
Highly Un-influenceable by Norms (top 10%)						Yes
Adjusted R <sup>2</sup>	.461	.490	.511	.441	.438	.686
Observations	181	181	18	47	45	21

Notes: OLS on energy use during the intervention. Significance at the 0.1, 0.05, 0.01, and 0.001 levels are designated by ', \*, \*\*, \*\*\* respectively. Standard error terms are clustered at the room level and shown in parentheses.

440

441 This same procedure was used to test the effect of normative messaging on energy  
 442 consumption in the post-intervention follow-up period conditional on behavioral determinants  
 443 using the modified model (8). In contrast to the results just described, in the post-intervention  
 444 period, the energy use of individuals based on treatment group did not statistically differ in any of  
 445 the sub-samples. The social norm sub-samples did exhibit similar trends in treatment effects  
 446 though where highly influenceable individuals who received the normative messages continued to  
 447 use less energy and high un-influenceable individuals used more (Table 11). To contextualize these  
 448 values, the mean energy use in the follow-up period for the undergraduate students was  
 449 approximately 66 kWh.

450 Table 11: Effect of normative messaging on energy use in the post-intervention follow-up period  
 451 conditional on occupant behavioral determinants in the undergraduate experiment

Explanatory Variable	(1)	(2)	(3)	(4)
Treatment Group	-3.513 (5.797)	-0.312 (5.676)	5.850 (5.569)	4.005 (4.873)
Baseline Energy Use (kWh/week)	1.172*** (0.132)	0.627*** (0.136)	0.623*** (0.142)	0.306* (0.102)
Floor Fixed Effects	Yes	Yes	Yes	Yes
Highly Influenceable by Norms (top 10%)	Yes			
Highly Influenceable by Norms (top 25%)		Yes		
Highly Un-influenceable by Norms (top 25%)			Yes	
Highly Un-influenceable by Norms (top 10%)				Yes
Adjusted R <sup>2</sup>	.903	.339	.530	.817
Observations	18	47	45	21

Notes: OLS on energy use during the intervention. Significance at the 0.1, 0.05, 0.01, and 0.001 levels are designated by ', \*, \*\*, \*\*\* respectively. Standard error terms are clustered at the room level and shown in parentheses.

452

453 **4 DISCUSSION & CONCLUSION**

454 The two longitudinal field experiments detailed in this paper aimed to address several  
 455 important gaps in the literature on normative feedback interventions. The first question of interest  
 456 is how suspected behavioral determinants (behavior intention, attitude, social norms, and  
 457 perceived behavioral control) of energy consumption relate to each other and how do they relate  
 458 to actual energy consumption. Attitude toward conserving and intention to conserve in the home  
 459 had the highest correlation at -0.55 (a more favorable attitude correlated with higher intention).  
 460 Interestingly though, positive attitudes towards conserving and behavior intention were not  
 461 significantly correlated with actual energy use. The Theory of Planned Behavior postulates that  
 462 behavior intention is usually fairly correlated and predictive of behavior when subjects have  
 463 sufficient degrees of actual behavioral control (Ajzen 1991). This has been found to be true in  
 464 numerous studies, but was not found to be true here for either of the experiments as intention was  
 465 not correlated with energy use prior to intervention. Its suspected the reason for the lack of  
 466 correlation between these two variables has to do with the nature of the question which solicited

467 the occupant's behavioral intention to conserve energy. The question that directly measured  
468 behavior intention asked participants to what extent do they "plan to conserve more energy in the  
469 home." The responses to this question were heavily skewed towards strongly agree (Mean 2.37 on  
470 a bipolar scale from 1 to 7 with 1 being 'strongly agree') with only 3.8% of responses indicating  
471 no intention or negative intention to conserve. This could suggest that although occupants intend  
472 to conserve, they lack the tools (e.g., procedural knowledge) or sufficient motivation necessary to  
473 translate this intention into action.

474 In the undergraduate study in most cases adding normative elements to the feedback  
475 messages did not result in statistically significant less energy consumption relative to the individual  
476 feedback only messages. This was observed in both during the intervention and during the post-  
477 intervention follow-up period. This finding conceptually conflicts with the previous research  
478 which suggests that normative messages with both descriptive and injunctive norms will improve  
479 the effectiveness of energy use feedback messages (Schultz et al. 2007). In Schultz et al. (2007),  
480 it was found that feedback messages with both the injunctive and descriptive norm elements  
481 reduced energy consumption in high energy users and reduced the 'boomerang effect' of low  
482 energy users increasing their consumption to be in line with group norms. The combination of  
483 these two phenomena should in turn result in net energy reductions for rooms receiving normative  
484 messages relative to individual feedback only. This was not found to be consistent with findings  
485 from in the undergraduate study.

486 Many potential reasons exist which could explain this divergence in results. First, the  
487 Schultz et al. (2007) study intervened on a different demographic of consumers where occupants  
488 were responsible for energy expenditures whereas participants in the experiments in this study are  
489 indirectly billed for their energy expenditures. The additional inherent financial incentives to  
490 reduce energy consumption could have contributed to the effectiveness of the messages in the  
491 Schultz et al. (2007) study. Second, feedback in the Schultz et al. (2007) study was hand delivered,  
492 which incorporated hand written elements, and placed on participants' front doors. These  
493 characteristics could make the messages seem more personal and consequently make the  
494 participants feel a greater sense of social pressure and concern for the messages. Emails can be  
495 seen as distant and impersonal relative to hand written notes. The handwritten notes were also

496 publicly visible as they were placed on front doors to homes which could further enhance the  
497 perceived social pressure to comply.

498         Additionally, in the current study it is possible that weather contributed to the lack of system  
499 level treatment effects in both the graduate and undergraduate groups during the intervention.  
500 During the intervention, the weather in Seoul was relatively mild and required almost no heating  
501 and cooling as evident by a mean weekly energy use rate of approximately 16 kWh per room. With  
502 limited space conditioning requirements the relative control occupants have over their energy  
503 consumption is greatly reduced. The potential improvement in energy use through behavioral  
504 improvements when space conditioning is not used is dramatically reduced. This could explain why  
505 in the graduate student experiment differences in energy consumption based on the duration of  
506 normative messaging became apparent in the post-intervention follow-up period when energy  
507 consumption demand was much greater.

508         From the graduate experiment, it was discovered that normative messaging duration had a  
509 significant effect on energy consumption in the longer term. Given this finding, one would suspect  
510 that the same pattern would be present in the undergraduate experiment but it was not. The  
511 difference in intervention messaging schedule could potentially explain this discrepancy. The  
512 graduate students received continuous normative feedback for up to sixteen weeks. The  
513 undergraduate treatment group received messaging for seven weeks then had a three month hiatus  
514 from living in the facility and receiving feedback before returning and receiving three more  
515 feedback messages. The long break could have nullified the effect of the previous seven weeks of  
516 messaging and made the intervention essentially equivocal to just the last three weeks of treatment.  
517 This would then imply that residents did not have enough time to develop and reinforce the  
518 perceived social norm and behavioral changes necessary to see improvements in the post-  
519 intervention period. The need for longer periods of continuous messaging is consistent with the  
520 finding from the graduate population where groups that received normative feedback for longer  
521 used less energy in the post-intervention follow-up period. Findings from Allcott and Rogers  
522 (2012) support this hypothesis.

523         The experiments also attempted to unearth information as to the prerequisite individual  
524 behavioral characters that moderate the effectiveness of normative feedback messages. In both  
525 experiments, it was found that only individuals who had a high motivation to comply with social



526 norms and perceived positive social norms exhibited meaningfully improved behavior as a result  
527 of receiving normative elements in their feedback messages. While this finding is intuitive, it had  
528 not yet been found in the field to the best of the authors' knowledge. It was also found that  
529 individuals who reported having little to no motivation to comply with social norms and perceived  
530 no social pressure increased their energy consumption when they received the normative message.  
531 Since these individuals reported essentially not caring about social norms, it is interesting that they  
532 responded negative to receiving them. Lastly, the fact that only individual social norm levels  
533 influenced the effectiveness of the treatment provides important insight into the role of the other  
534 behavioral determinants, particularly about attitude. Specifically, that it is not beneficial to attempt  
535 to change individual attitudes when conducting normative based feedback interventions and that  
536 effort would be better spent attempting to persuade occupants that a positive norm of energy  
537 conservation exists.

538 In conclusion, the experiments presented in this paper found that the normative messaging  
539 duration positively influences the durability of behavior change. Further, not all individuals are  
540 equally influenced by normative messaging. High norm individuals were found to be positively  
541 induced to change their energy use behavior whereas low norm individuals had the opposite effect.  
542 Developing and testing interventions to take advantage of this finding has the potential to reduce  
543 cost of intervention by limiting the population which should receive normative feedback. It also  
544 has the potential to improve the effectiveness of such programs by avoiding undesirable behavior  
545 change in large subsets of the population.

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611 **APPENDIX A – INTAKE SURVEY: ENGLISH VERSION**

## OCCUPANT SURVEY

This study is performed by University of Michigan and Seoul National University. The goal of this study is to evaluate energy consumption in the building. The result of this study will help building managers better operate the building.

- Participating in this survey is **VOLUNTARY** and you may stop at any time.
- All of your answers on this questionnaire will be **CONFIDENTIAL**.
- Your answers will be used **ONLY FOR RESEARCH PURPOSES**.

**Thank you very much for your participation in this study.  
We greatly appreciate it.**

### Instructions:

Many questions in this survey make use of rating scales with 7 places; you are to circle the number that best describes your opinion. For example, if you were asked to rate "Eating oranges" on such a scale, the 7 places should be interpreted as follows:

Typically, the taste of an orange is

good :   1   :   2   :   3   :   4   :   5   :   6   :   7   : bad  
          extremely   quite   slightly   neither   slightly   quite   extremely

If you think that oranges typically taste extremely good, you would circle *number 1*, as indicated below:

good :   (1)   :   2   :   3   :   4   :   5   :   6   :   7   : bad  
          extremely   quite   slightly   neither   slightly   quite   extremely

If you think that oranges do not either taste good or bad, you would circle *number 4*, as indicated below:

good :   1   :   2   :   3   :   (4)   :   5   :   6   :   7   : bad  
          extremely   quite   slightly   neither   slightly   quite   extremely

If you think that oranges taste quite bad, you would circle *number 6*, as indicated below:

good :   1   :   2   :   3   :   4   :   5   :   (6)   :   7   : bad  
          extremely   quite   slightly   neither   slightly   quite   extremely

When answering the questions, remember to:

- \*Please answer all questions
- \*Circle only one answer for each question

Name \_\_\_\_\_ Building \_\_\_\_\_ Room \_\_\_\_\_

Please answer each question by circling the number that best describes your opinion. Although some questions might appear similar, they address slightly different issues, please read each question carefully. There are 31 questions.

For questions 1 to 11, during the past year, how often did you do the following when you had the opportunity?						
1.	Set thermostat to 18 degrees or lower during cool or cold weather?	Never	Rarely	Sometimes	Most of the time	N/A
2.	Set thermostat (air conditioner) to 25 degrees or higher during warm or hot weather?	Never	Rarely	Sometimes	Most of the time	N/A
3.	Turn off lights when I leave the room?	Never	Rarely	Sometimes	Most of the time	N/A
4.	Use a reusable water bottle, coffee cup, travel mug, etc.	Never	Rarely	Sometimes	Most of the time	N/A
5.	Use the power saving settings on my computer?	Never	Rarely	Sometimes	Most of the time	N/A
6.	Turn off my computer when not using it?	Never	Rarely	Sometimes	Most of the time	N/A
7.	Run washer only when I have a full load of clothes?	Never	Rarely	Sometimes	Most of the time	N/A
8.	Limit time in the shower?	Never	Rarely	Sometimes	Most of the time	N/A
9.	Recycle bottles, containers, and paper products	Never	Rarely	Sometimes	Most of the time	N/A
10.	Buy Products (besides food) that carry some type of ecolabel or certification (e.g. lumber, organic cotton clothing, household cleaning products)	Never	Rarely	Sometimes	Most of the time	Don't Know
11.	Buy locally grown or processed, organic, or fair trade food?	Never	Rarely	Sometimes	Most of the time	Don't Know

Circle the corresponding answer or fill in the blank

12. I am a \_\_\_\_\_ student. First year    Sophomore    Junior    Senior    Graduate/Professional Degree

13. List where you are most likely to be found in the SNU dorms (building and room number).

Bld \_\_\_\_\_ Rm \_\_\_\_\_, Bld \_\_\_\_\_ Rm \_\_\_\_\_, Bld \_\_\_\_\_ Rm \_\_\_\_\_, Bld \_\_\_\_\_ Rm \_\_\_\_\_, Bld \_\_\_\_\_ Rm \_\_\_\_\_,  
 Bld \_\_\_\_\_ Rm \_\_\_\_\_, Bld \_\_\_\_\_ Rm \_\_\_\_\_, Bld \_\_\_\_\_ Rm \_\_\_\_\_, Bld \_\_\_\_\_ Rm \_\_\_\_\_, Bld \_\_\_\_\_ Rm \_\_\_\_\_.

**Circle the number that best describes your opinion**

14. I plan to conserve more energy in my home  
strongly agree : 1 : 2 : 3 : 4 : 5 : 6 : 7 : strongly disagree
15. In general, people should conserve energy, even if it is inconvenient.  
strongly agree : 1 : 2 : 3 : 4 : 5 : 6 : 7 : strongly disagree
16. Conserving more energy would negatively affect my comfort  
strongly agree : 1 : 2 : 3 : 4 : 5 : 6 : 7 : strongly disagree
17. Using less energy at home will have environmental benefits  
strongly agree : 1 : 2 : 3 : 4 : 5 : 6 : 7 : strongly disagree
18. Conserving more energy would be an inconvenience to me  
strongly agree : 1 : 2 : 3 : 4 : 5 : 6 : 7 : strongly disagree
19. Negatively affecting my comfort to conserve energy is  
very undesirable : 1 : 2 : 3 : 4 : 5 : 6 : 7 : very desirable
20. Behaving in a manner that benefits the environment is good  
strongly agree : 1 : 2 : 3 : 4 : 5 : 6 : 7 : strongly disagree
21. My neighbors' think that I should be more environmentally responsible with my energy use  
strongly agree : 1 : 2 : 3 : 4 : 5 : 6 : 7 : strongly disagree
22. My close friends' think that I should be environmentally responsible with my energy use  
strongly agree : 1 : 2 : 3 : 4 : 5 : 6 : 7 : strongly disagree
23. Generally speaking, how much do you care what your neighbors think you should do  
not at all : 1 : 2 : 3 : 4 : 5 : 6 : 7 : very much
24. Generally speaking, how much do you care what your close friends think you should do  
not at all : 1 : 2 : 3 : 4 : 5 : 6 : 7 : very much
25. I know what consume energy in the home  
strongly agree : 1 : 2 : 3 : 4 : 5 : 6 : 7 : strongly disagree
26. If it is hot or cold, it would make it difficult for me to conserve energy at home  
strongly agree : 1 : 2 : 3 : 4 : 5 : 6 : 7 : strongly disagree
27. If I am mentally tired, it would make it more difficult for me to conserve energy at home  
strongly agree : 1 : 2 : 3 : 4 : 5 : 6 : 7 : strongly disagree
28. Knowing what consumes energy will enable me to reduce my energy use  
strongly agree : 1 : 2 : 3 : 4 : 5 : 6 : 7 : strongly disagree

29. It is either hot or cold in my home

Very rarely : 1 : 2 : 3 : 4 : 5 : 6 : 7 : very frequently

30. When at home, I am mentally tired

very rarely : 1 : 2 : 3 : 4 : 5 : 6 : 7 : very frequently

**Use the following lines to briefly answer the follow question and add any comments you might have**

31. If you currently actively attempt to conserve energy, why do you do so? If you currently do not, why not?

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